

SEP 18 2006

PTO/SB/122 (01-06)

Approved for use through 12/31/2008. OMB 0851-0035
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Application Number	10/616,028
Filing Date	July 9, 2003
First Named Inventor	Mark E. Addis
Art Unit	3673
Examiner Name	Enoch E. Peavey
Attorney Docket Number	EH-10778

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☐ Applicant/Inventor☒ Assignee of record of the entire interest.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/98).☐ Attorney or agent of record. Registration Number _____☐ Registered practitioner named in the application transmittal letter in an application without an
executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Signature

Typed or Printed
Name

George J. Romanik

Date September 14, 2006

Telephone 860-565-3424

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple
forms if more than one signature is required, see below.☒ Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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SEP 18 2006

PTO/SB/96 (12-05)

Approved for use through 07/31/2008. OMB 0651-0031

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: United Technologies CorporationApplication No./Patent No./Control No.: 10/616,028 Filed/Issue Date: July 9, 2003Entitled: Brush Seal With Windage ControlUnited Technologies Corporation
(Name of Assignee)a Corporation
(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015173, Frame 0333, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

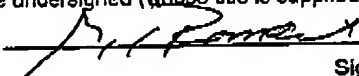
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

George J. Romanik

Printed or Typed Name

Assistant Secretary
Title14 SEP 2006

Date

880-565-3424

Telephone Number

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